

防范非法证券期货宣传月活动——资本市场重大历史事件：中  
国集体诉讼第一案



4 16

16

56

2020! 5 " # \$ % & ' ( ' ) \* + \* ,  
- . / O 1 2 3 4 / 5 6 7 8 9 : \* ; < = 4 (   
4 2016 ! > 2018 ! ? @ 3 ! A B C D E C F G H I  
J K L M N 300 O 7 P Q R S T @ U V H W X Y  
Z [ \ ] ^ \_ ` ( a " b c d e f g X Y h i  
j ( k l X Y m n o p q  
r s \ t u v w (   
" x y T z w ( " {  
| } \* ~ ! ! j ( k l  
" T @ # { \$ % ( & ' ( ) \* + , - . /  
O 1 2 3 2 w ( , } | 4 q 5 6  
7

广州 中院 适用 程序  
审理 虚假陈述 任 案

2020 ! 12 31 89: C;  
<= 11 > ?@A BCDCEFGC 22 ;  
HMI J K

89: C; <=L 11 > ?@, M NK @ O P  
5@QR Oz 1 ST UAV'  
2021 ! 2 10 L W23 V'  
X3 kY Z[ 2021 ! 3 26 \  
+ ] V' kY ^ \_

2021 ! 3 30 > 89: C; <= 11 ` a b  
" cd ef ghi j /k l CmnoC; pCqrs  
\*, - . 23 t u cd ef ghi j Ck  
l CmnoC; pCqrs ; OvwXJy; { B  
CDCEFG ; z{ ? | } ~ K w( " > u  
; E

2021 ! 4 8 56 kY  
k t

<http://www.gzcourt.gov.cn/fygg/ck11/2021/04/16171929622.html>

X x k Y Z [ 2017! 4 20  
A > 2018! 10 15 U 6 1 Cz 2018  
! 10 15 T ST  
600518 { P 5@QR O P ] \$  
% ( HMI J i } ~  
) 3\_ ) 3 HMI J { hi gU\* F

j x J k Y Z [ \* x  
t U 2021! 5 16 y  
t \ U v + v + \* A  
w( \* >

帮助受损中小  
得到公平、高效 赔偿

5 b 23  
w( ( k  
k 50 k  
-  
1C +  
J #) I J 1  
I bb " ' ( 9: \* ! " # \$  
%Y &' x& Y ' ( 4( 23r) | - P\* pq+ / b  
Z a , - Us Y . / i } ~ 0123 4235  
6 { \* , C7i Ku8 2 9: 5 23j 3 ?@ ;  
5 +< => 2+<U?# 3 4( ! Y  
h @ AC%, } ~  
BCD hi j hEEF 9G H  
k I J K LM i A i x b  
A N( 1.8 O SOPQ Hk 9  
b RS Y T2 i LMsU A V  
9 b WXHi Y YZC[  
2\] \*CK LM ' ( 9: \*

= T@ ^ w( , } |  
4q567 \_` S @ AC%, } ~ @  
T@ #{ \$%( &' ( ) a l \_Lb 4 \* +  
, - . / O123 2 T@t cd  
j (kl C" ' ( ' ) \* ! " # C S i Ku833  
#e#f `3gh) ZCi C6dC j 3C kH

## 财务造假始末

### 预谋、组织、长期、系统财务造假

K LM[ \ ] ^ XYmn o pq L  
) WI 3 2016 > 2018 ! m n 4l op2 Cq  
i V ' ( H6br +stu CvLw\* xa ygHsz {  
P 887 O| Hs} 1 275 O| HsY ~ 39 O| w( "  
' ( ' ) + \* , - . / O123 /  
5 6789: \* < = 4(

2019 ! 4 30 ! " 2018 ! # C2019 ! \$  
# C2018 ! 3K # %W g & 20 Mm

20 Mm mW ] x g' ( ) d \_P  
\* J 4 2017 ! ! # + g( + , , ' ( W 23"  
2017 ! K # } \* YJ S 2017 ! K # z { PMg 299.44  
O| - } 1 Mg 88.98 O| - 9 Mg 76.62 O|

. /j \* + t 4 + Ob 1 2 4 K 1 C#  
\$%&C p2 - YS3 %3 =

g cd ef ghi j 4 2019! 5  
; : ) W

2019! 5 17 ' # ) W} 7 2018! 5  
4 1 K # 6I H 3 78HMI J  
' ( ' ) : ) W 7U 4  
/ I J S T R S 8 9 4 : ; U H

2019! 6 28 ST 2018! ! 3 cS 4qi VBC  
Dt c < = F " \* A TzI 4 > ? 7 @\* )  
Z z ABCj ) W D E ) W +

2020! 5 14 F\$ w( " ' ( ' )  
+ \* , - . / O1 23 23" w\* @ @

z - 60 H | . I " 21 K 6 - 90 H | > 10 H | \*  
. I " 6 K J 10 ! > KL O 1 ' J 5  
7 8 ' ( ' ) \* d \* , ) W @  
/ 5 6 7 8 9 : \* < = 4 (

Y # \$ % & 2 3 o 7 2 3 M w ( D  
# L - \$ N @ O d ( b ) I J K  
L M P i Y I ] ^ \* 5 ^ Q R S C T  
U V X Y W X o 7 " x Y K T % Z  
q [ \ ] ( ^ R \_ j ` \* , - . C 7 i u C  
i } ~ / D # a u F " K L M \* Y b + c

2021 ! 4 16 ST d e A Ag 2020 ! f h 244.8  
O - 299.2 O | x x A g f h 148.5 O - 178.2 O | e A d  
d Ag 4 g h t ` a ) 3 Ag 4 2020 !  
! 3 # % & ; I J R S 8

R f i ST } @ j O 2 O F j >  
g U 5 k t j H j O 4 F k t l m +  
P n 4 j > g U 5 k t j H C o H p  
x g U \* \ p x e H M q ) r Y ~  
s b #